

# Banzhaf Power

Lecture 14

Section 2.2

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- 1 Coalitions
- 2 Critical Players
- 3 The Banzhaf Power Index
- 4 Examples
- 5 Assignment

# Outline

- 1 Coalitions
- 2 Critical Players
- 3 The Banzhaf Power Index
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- 5 Assignment

# Coalitions

## Definition (Coalition)

A **coalition** is a group of players who agree to vote as a block. A **winning coalition** is a coalition whose votes add up to at least the quota. A **losing coalition** is a coalition whose votes add up to less than the quota.

# Number of Coalitions

- If there are 3 players, how many possible coalitions are there?

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- How about 4 players?

# Number of Coalitions

- If there are 3 players, how many possible coalitions are there?
- How about 4 players?
- 5 players?

# Number of Coalitions

XXX

(Nobody)

A

B

AB

2 Players, 4 coalitions



# Number of Coalitions

XXX

(Nobody)

A

B

C

AB

AC

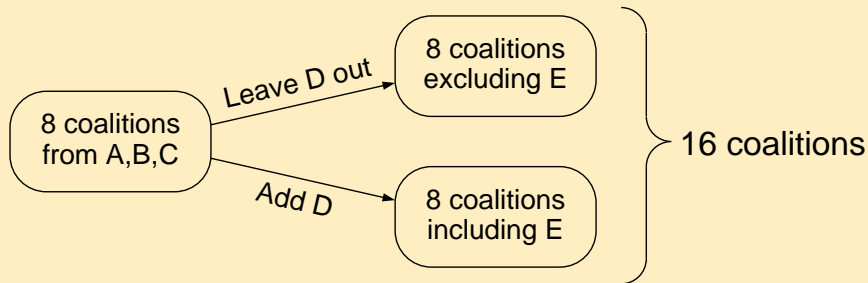
BC

ABC

3 Players, 8 coalitions

# Number of Coalitions

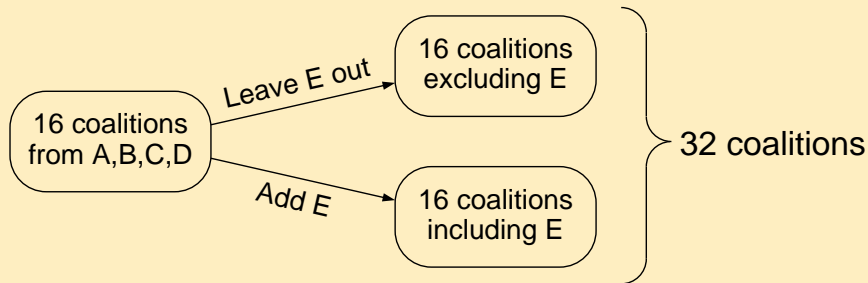
XXX



4 Players, 16 coalitions

# Number of Coalitions

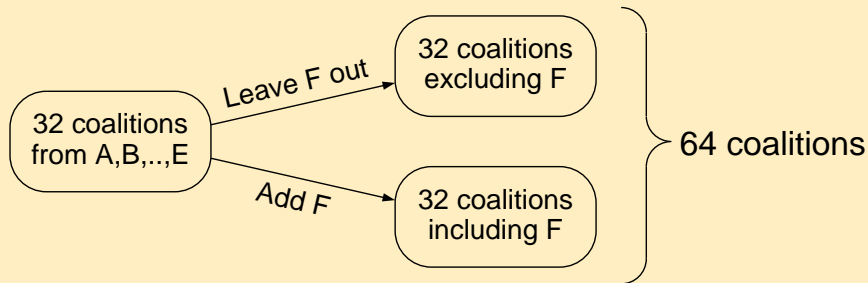
XXX



5 Players, 32 coalitions

# Number of Coalitions

XXX



6 Players, 64 coalitions

# Number of Coalitions

- Everytime we add one more player, the number of coalitions doubles (counting the empty coalition).
- Thus, if there are  $N$  players, then there are  $2^N$  coalitions.
- What if there were 15 players?

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- Thus, if there are  $N$  players, then there are  $2^N$  coalitions.
- What if there were 15 players?
- Then there would be  $2^{15} = 32,768$  coalitions.

# Number of Coalitions

- Everytime we add one more player, the number of coalitions doubles (counting the empty coalition).
- Thus, if there are  $N$  players, then there are  $2^N$  coalitions.
- What if there were 15 players?
- Then there would be  $2^{15} = 32,768$  coalitions.
- If there were 25 players, there would be  $2^{25} = 33,554,432$  coalitions.

# Listing Coalitions

- The best way to list the possible coalitions is by size.
  - Start with the empty set (or skip it).
  - Consider all coalitions of a single player:  $A$ ,  $B$ ,  $C$ , ...
  - Then consider all coalitions of two players by adding a player to the singleton coalitions:  $AB$ ,  $AC$ ,  $BC$ , ...
  - Then coalitions of three players, then four players, and so on.



# Listing Coalitions By Size

By Size

$\emptyset$

The empty coalition

# Listing Coalitions By Size

By Size

$\emptyset$

A

B

C

Coalitions of size 1

# Listing Coalitions By Size

By Size

	A	AB
$\emptyset$	B	AC
	C	BC

Coalitions of size 2

# Listing Coalitions By Size

By Size

	A	AB	
$\emptyset$	B	AC	ABC
	C	BC	

Coalition of size 3

# Outline

1 Coalitions

**2 Critical Players**

3 The Banzhaf Power Index

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# Critical Players

## Definition (Critical Player)

A **critical player** of a coalition is a player whose membership in that coalition takes it from a losing coalition to a winning coalition. That is, it is a winning coalition, but if he left it, then it would be a losing coalition.

# An Example

## Example (Coalitions)

Consider the voting system  $[5 : 4, 2, 1]$ . Make a table of all possible coalitions and their critical players.

Coalition	Weight	Critical Players
<i>A</i>		
<i>B</i>		
<i>C</i>		
<i>AB</i>		
<i>AC</i>		
<i>BC</i>		
<i>ABC</i>		

# An Example

## Example (Coalitions)

Consider the voting system  $[5 : 4, 2, 1]$ . Make a table of all possible coalitions and their critical players.

Coalition	Weight	Critical Players
<i>A</i>	4	
<i>B</i>	2	
<i>C</i>	1	
<i>AB</i>	6	
<i>AC</i>	5	
<i>BC</i>	3	
<i>ABC</i>	7	



# An Example

## Example (Coalitions)

Consider the voting system  $[5 : 4, 2, 1]$ . Make a table of all possible coalitions and their critical players.

Coalition	Weight	Critical Players
$A$	4	
$B$	2	
$C$	1	
$AB$	6	$A, B$
$AC$	5	$A, C$
$BC$	3	
$ABC$	7	$A$

# An Example

## Example (Coalitions)

Consider the voting system  $[5 : 4, 2, 1]$ . Make a table of all possible coalitions and their critical players.

Coalition	Weight	Critical Players
$A$	4	
$B$	2	
$C$	1	
$AB$	6	$A, B$
$AC$	5	$A, C$
$BC$	3	
$ABC$	7	$A$

Notice that  $A$  has veto power, but  $A$  is not a dictator.

# Another Example

## Example (Coalitions)

What if the quota were lowered to 4?

Coalition	Weight	Critical Players
<i>A</i>		
<i>B</i>		
<i>C</i>		
<i>AB</i>		
<i>AC</i>		
<i>BC</i>		
<i>ABC</i>		

# Another Example

## Example (Coalitions)

What if the quota were lowered to 4?

Coalition	Weight	Critical Players
<i>A</i>	4	
<i>B</i>	2	
<i>C</i>	1	
<i>AB</i>	6	
<i>AC</i>	5	
<i>BC</i>	3	
<i>ABC</i>	7	

# Another Example

## Example (Coalitions)

What if the quota were lowered to 4?

Coalition	Weight	Critical Players
<i>A</i>	4	<i>A</i>
<i>B</i>	2	
<i>C</i>	1	
<i>AB</i>	6	<i>A</i>
<i>AC</i>	5	<i>A</i>
<i>BC</i>	3	
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# Another Example

## Example (Coalitions)

What if the quota were lowered to 4?

Coalition	Weight	Critical Players
<i>A</i>	4	<i>A</i>
<i>B</i>	2	
<i>C</i>	1	
<i>AB</i>	6	<i>A</i>
<i>AC</i>	5	<i>A</i>
<i>BC</i>	3	
<i>ABC</i>	7	

Now *A* is a dictator and *A* has veto power.

# An Example

## Example (Coalitions)

Consider the voting system  $[11 : 9, 8, 3, 1]$ .

Coalition	Weight	Critical Players
$\{A\}$		
$\{B\}$		
$\{C\}$		
$\{D\}$		
$\{A, B\}$		
$\{A, C\}$		
$\{A, D\}$		
$\{B, C\}$		
$\{B, D\}$		
$\{C, D\}$		
$\{A, B, C\}$		
$\{A, B, D\}$		
$\{A, C, D\}$		
$\{B, C, D\}$		
$\{A, B, C, D\}$		

# An Example

## Example (Coalitions)

Consider the voting system  $[11 : 9, 8, 3, 1]$ .

Coalition	Weight	Critical Players
$\{A\}$	9	
$\{B\}$	8	
$\{C\}$	3	
$\{D\}$	1	
$\{A, B\}$	17	
$\{A, C\}$	12	
$\{A, D\}$	10	
$\{B, C\}$	11	
$\{B, D\}$	9	
$\{C, D\}$	4	
$\{A, B, C\}$	20	
$\{A, B, D\}$	18	
$\{A, C, D\}$	13	
$\{B, C, D\}$	12	
$\{A, B, C, D\}$	21	



# An Example

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Consider the voting system  $[11 : 9, 8, 3, 1]$ .

Coalition	Weight	Critical Players
$\{A\}$	9	
$\{B\}$	8	
$\{C\}$	3	
$\{D\}$	1	
$\{A, B\}$	17	$A, B$
$\{A, C\}$	12	$A, C$
$\{A, D\}$	10	
$\{B, C\}$	11	$B, C$
$\{B, D\}$	9	
$\{C, D\}$	4	
$\{A, B, C\}$	20	(none)
$\{A, B, D\}$	18	$AB$
$\{A, C, D\}$	13	$AC$
$\{B, C, D\}$	12	$BC$
$\{A, B, C, D\}$	21	(none)

# An Example

## Example (Coalitions)

Consider the voting system  $[11 : 9, 8, 3, 1]$ .

Coalition	Weight	Critical Players
$\{A\}$	9	
$\{B\}$	8	
$\{C\}$	3	
$\{D\}$	1	
$\{A, B\}$	17	$A, B$
$\{A, C\}$	12	$A, C$
$\{A, D\}$	10	
$\{B, C\}$	11	$B, C$
$\{B, D\}$	9	
$\{C, D\}$	4	
$\{A, B, C\}$	20	(none)
$\{A, B, D\}$	18	$AB$
$\{A, C, D\}$	13	$AC$
$\{B, C, D\}$	12	$BC$
$\{A, B, C, D\}$	21	(none)

Notice that  $A$  has veto power but  $A$  is not a dictator

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# Definitions

## Definition (Critical Count)

The **critical count** of a player is the number of possible coalitions in which he is a critical player.

## Definition (Banzhaf Power Index)

The **Banzhaf power index (BPI)** of a player is that player's critical count divided by the total of all players' critical counts.

## Definition (Banzhaf Power Distribution)

The **Banzhaf power distribution** is the set of BPI's for all the players.

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# Example

## Example

- Find the power distribution in  $[14 : 9, 8, 3, 1]$ .

# Example

## Example

- Find the power distribution in  $[14 : 9, 8, 3, 1]$ .
- Does this sound right?

# Example

## Example

- Find the power distribution in  $[11 : 9, 8, 3, 1]$ .



# Example

## Example

- Find the power distribution in  $[11 : 9, 8, 3, 1]$ .
- Does this sound right?

# Example

## Example

- Use the Javascript program to find the Banzhaf Power Indexes in the following situations.
- $[14 : 6, 5, 5, 4]$ .
- $[15 : 6, 5, 5, 4]$ .
- $[16 : 6, 5, 5, 4]$ .
- $[17 : 6, 5, 5, 4]$ .

# Example

## Example (Stolen from Wikipedia)

- California has 55 electoral votes, Texas as 34, and New York as 31.
- Total = 120.
- If those were the only three states, then we would have  $[61 : 55, 34, 31]$ .
- Find the power distribution.

# Example

## Example (Also stolen from Wikipedia)

- Replace New York with Ohio, with 20 electoral votes.
- Total = 109.
- The situation now is  $[55 : 55, 34, 20]$ .
- How has the power distribution changed?

# Example

## Example

- Find the power distribution in  $[9 : 5, 4, 3, 2, 1]$ .
- You are  $E$  and you would like to buy one vote from another player. From which player should you buy it?

# Example

## Example

- Consider the situation  $[q : 3, 3, 2, 1]$ .
- What quota(s)  $q$  makes the power distribution most balanced?
- What quota(s)  $q$  makes the power distribution most unbalanced?

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# Assignment

## Assignment

- Chapter 2: Exercises 11, 12, 13, 14, 15, 17, 19; 69, 71. (You may want to use the Javascript program for 69 and 71.)